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UNITED STATES PATENT AND TRADEMARK OFFICE
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/931,225	08/16/2001	Jarir K. Charr	YOR920010359US1

CONFIRMATION NO. 2853

FORMALITIES LETTER



OC000000006806750

Casey August
Intellectual Property Law Dept.
IBM Corporation
P.O. Box 218
Yorktown Heights, NY 10598



Date Mailed: 09/28/2001

NOTICE TO FILE CORRECTED APPLICATION PAPERS

Filing Date Granted

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
 - drawings contain excessive text. Suitable descriptive legends may be used, or may be required by the Examiner where necessary for understanding of the drawing but should contain as few words as possible (see 37 CFR 1.84(o));

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE

EV001687974US

#4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Chaar, et al.
Serial No.: 09/931,225
Filing Date: August 16, 2001
Confirmation No. 2853



Docket No. Y0R920010359US1
Group Art Unit: 2171
Examiner: unassigned
Dated: November 7, 2001

For: SYSTEM & METHOD FOR PROTECTING A TCP CONNECTION SERVING SYSTEM
FROM HIGH-VOLUME OF TCP CONNECTION REQUESTS

Commissioner for Patents
And Trademarks
Washington, D.C. 20231

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Sir:

Attached is a copy of the official filing receipt from the PTO in the above mentioned patent application for which issuance of a corrected filing receipt is respectfully requested. Also attached are copies of: acknowledgement postcard, Notice to File Corrected Application Papers, Response to Notice to File Corrected Application with four (4) sheets of Drawings in compliance with 37CFR 1.84 and Express Mail Certification No. EV001687974 showing the deposit date of November 7, 2001. There is an error in:

- Applicant's name and/or address.
- Title
- Filing Date
- Serial Number
- Atty. Docket No.

in that it should read as follows:

"Jarir K. Chaar et al - not Jarir K. Charr as stated".

Since this error was due on the part of the Patent Office, no fee is believed to be due. Should a fee need to be incurred, please charge our deposit account 09-0468.

Respectfully submitted,


Manny Schecter
Telephone: 914-945-31252
Registration No. 31,722

IBM CORPORATION
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P.O. BOX 218
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ExpMailCert: EV001687974US
Date Of Deposit: Nov 7, 2001



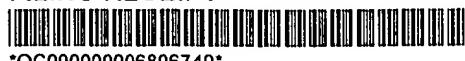
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/931,225	08/16/2001	2171	710	YOR920010359US1	5	5	1

CONFIRMATION NO. 2853

FILING RECEIPT



OC000000006806749



Casey August
Intellectual Property Law Dept.
IBM Corporation
P.O. Box 218
Yorktown Heights, NY 10598

Date Mailed: 09/28/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Jarir K. Charr, Tarrytown, NY;
David A. George, Somers, NY;
C. Steven Lingafelt, Durham, NC;
Kiyoshi Maruyama, Chappaqua, NY;
Mark Mei, Yorktown Heights, NY;

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2001 OCT - 2 AM 9:49

Assignment For Published Patent Application

International Business Machines Corporation, Armonk, NY;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 09/27/2001

Projected Publication Date: To Be Determined - pending completion of Corrected Papers

Non-Publication Request: No

Early Publication Request: No

Title

System and method for protecting a TCP connection serving system from high-volume of TCP connection requests

Preliminary Class

707

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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NOT GRANTED

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